

COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION  
(Page 1)

I, as a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled \_\_\_\_\_

PEPTIDES

the specification of which ☐ is attached hereto ☒ was filed on May 3, 1999 PCT International Application No. PCT/NO99/00143 and was amended on August 11, 2000 (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b), of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designates at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed:

<u>Country</u>	<u>Application No.</u>	<u>Filed (Day/Mo./Yr.)</u>	<u>(Yes/No)</u> <u>Priority Claimed</u>
NORWAY	19982097	8 May 1998	Yes

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

<u>Application No.</u>	<u>Filed (Day/Mo./Yr.)</u>	<u>Status</u> <u>(Patented, Pending, Abandoned)</u>
------------------------	----------------------------	--

I hereby appoint the practitioners associated with the firm and Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to the address associated with that Customer Number:

**FITZPATRICK, CELLA, HARPER & SCINTO**  
Customer Number: 05514

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor Gustav GAUDERNACK  
Inventor's signature Gustav Gaudernack  
Date Nov. 21st 2000 Citizen/Subject of Norway  
Residence Anthons Walles vei 17A, N-1300 Sandvika, Norway  
Post Office Address N-0240 Oslo, Norway

COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION  
(Page 2)

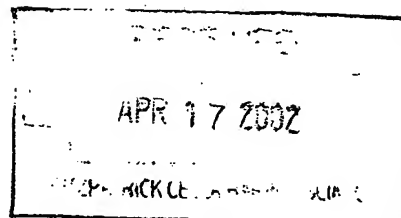
Full Name of Second Joint Inventor, if any Jon Amund ERIKSEN  
Second Inventor's signature Jon A. Eriksen  
Date Nov. 15, 2000 Citizen/Subject of Norway  
Residence Bjørntvedtgt. 37, N-3916 Porsgrunn, Norway  
Post Office Address N-0240 Oslo, Norway

Full Name of Third Joint Inventor, if any Mona MØLLER  
Third Inventor's signature Mona Møller  
Date Nov 30, 2000 Citizen/Subject of Norway  
Residence Skrukkerødtoppen 8, N-3925 Porsgrunn, Norway  
Post Office Address N-0240 Oslo, Norway

Full Name of Fourth Joint Inventor, if any Marianne Klemp GJERTSEN  
Fourth Inventor's signature M.K. Gertsen  
Date Nov. 22, 2000 Citizen/Subject of Norway  
Residence Terneveien 10, N-1335 Snarøya, Norway  
Post Office Address N-0240 Oslo, Norway

Full Name of Fifth Joint Inventor, if any Ingvil SÆTERDAL  
Fifth Inventor's signature Ingvil Sæterdal  
Date Nov. 21, 2000 Citizen/Subject of Norway  
Residence Morgedalsveien 43-8, N-0378, Oslo, Norway  
Post Office Address N-0240 Oslo, Norway

JWB\NMD\vjw



APRIL 08, 2002

PTAS

Chief Information Officer  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)

FITZPATRICK, CELLA ET AL  
30 ROCKEFELLER PLAZA  
NEW YORK, NY 10112-3801



\*101981846A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/07/2002

REEL/FRAME: 012558/0453  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

NORSK HYDRO ASA

DOC DATE: 01/17/2002

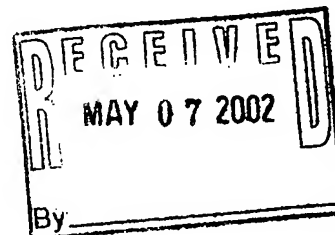
ASSIGNEE:

GEMVAX AS  
NOREVEIEN 7  
N-0310, OSLO, NORWAY

SERIAL NUMBER: 09674973  
PATENT NUMBER:

FILING DATE: 06/04/2001  
ISSUE DATE:

STEVEN POST, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



02-13-2002

Form-PTO-1595  
1-31-92

TRAN:



ORDINATION

Atty. Docket: 01702.401500

101981846

To the Director, U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Norsk Hydro ASA  
40 Drammensveien 40,  
N-0240 Oslo, NORWAY  
Additional name(s) of conveying party(ies) attached?  
☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: GemVax ASForeign Address: Noreveien 7,N-0310, Oslo, NORWAY

Domestic Address: \_\_\_\_\_

City: \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:  
☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: January 17, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application Number: 09/674,973

B. Title of Invention:

PEPTIDESFiling Date: June 4, 2001Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Fitzpatrick, Cella, Harper & Scinto30 Rockefeller PlazaNew York, New York 10112-3801Telephone No.: (212) 218-2100Facsimile No.: (212) 218-2200

6. Number of applications and patents involved:

One7. Total fee (37 CFR 3.41): . . \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number (for deficiency or excess)

06-1205

(Attach duplicate copy of this page if paying by deposit account):

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and the attached is the original document or is a true copy of the original document.*John W. Behringer

Name of Person Signing

John W. Behringer

Signature

February 7, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

02/12/2002 DBYRNE 00000078 09674973

Form 121  
01 FC:581  
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40.00 OP

## ASSIGNMENT

FOR GOOD AND SUFFICIENT VALUE RECEIVED, Norsk Hydro ASA, a corporation of Norway, having a place of business at 40 Drammensveien 40, N-0240, Oslo, Norway (hereinafter called the "Assignor"), which, by virtue of an Assignment executed on November 15, 2000, November 21, 2000, November 21, 2000, November 22, 2000, and November 30, 2000, by Jon Amund Eriksen, Gustav Gaudernack, Ingvil Saeterdal, Marianne Klemp Gjertsen, and Mona Moller ("the Inventors"), respectively, and recorded in the U.S. Patent and Trademark Office at Reel 011861, Frame 0450, is the owner of the Inventors' entire right, title, and interest in and to United States Patent Application No. 09/674,973, entitled "PEPTIDES," hereby sells, assigns, transfers, and conveys unto GemVax AS, a corporation of Norway, having a place of business at Noreveien 7, N-0310, Oslo, Norway, its successors, assigns and legal representatives (hereinafter called the "Assignee"), Assignor's entire right, title, and interest in and to said Application No. 09/674,973, including any and all continuing or substitute applications deriving therefrom, as well as the right derived from the Inventors to invoke and claim for all inventions disclosed in said application the benefit under the International Convention for the Protection of Industrial Property of the prior filing date of corresponding Norwegian Patent Application No. 19982097, filed May 8, 1998; and Assignor hereby authorizes and requests the Assistant Commissioner of Patents of the United States to issue

all Letters Patent upon said application(s) to the Assignee or to such nominees as it may designate.

AND Assignor hereby consents that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file, or like document which may be required in the United States for any purpose and more particularly in proof of the right of said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property.

AND Assignor hereby covenants that it has the full right to convey the entire right, title, and interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

Signed and sealed:

Witness:

*Luta Lihl*

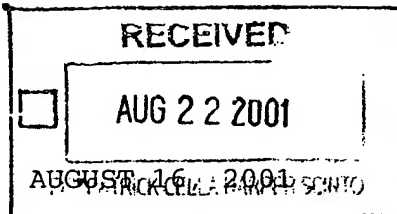
Date: 17. 01. 2002

Norsk Hydro ASA

By: *[Signature]*  
**Svein Hofseth**

Title: 

Head of the Patent & Trade Mark Department
---



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

PTAS  
FITZPATRICK, CELLA, HARPER & SCINTO  
JOHN W. BEHRINGER  
30 ROCKEFELLER PLAZA  
NEW YORK, NEW YORK 10112-3801



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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/04/2001

REEL/FRAME: 011861/0450  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
GAUDERNACK, GUSTAV

DOC DATE: 11/21/2000

ASSIGNOR:  
ERIKSEN, JON AMUND

DOC DATE: 11/15/2000

ASSIGNOR:  
MOLLER, MONA

DOC DATE: 11/30/2000

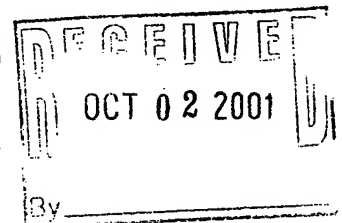
ASSIGNOR:  
GJERTSEN, MARIANNE KLEMP

DOC DATE: 11/22/2000

ASSIGNOR:  
SAETERDAL, INGVL

DOC DATE: 11/21/2000

ASSIGNEE:  
NORSK HYDRO ASA  
DRAMMENSVEIEN 40  
OSLO, NORWAY



011861/0450 PAGE 2

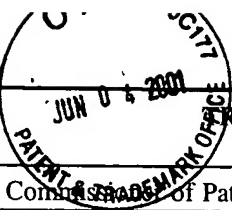
SERIAL NUMBER: 09674973  
PATENT NUMBER:

FILING DATE:  
ISSUE DATE:

ALLYSON PURNELL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



06-08-2001

Form-PTO-1595  
1-31-92

TRANSM



ATION

Atty. Docket: 1702.401500

To the Commissioner of Patents and

101742823

original documents or copy thereof.

## 1. Name of conveying party(ies):

(1) Gustav GAUDERNACK (2) Jon Amund ERIKSEN  
(3) Mona MØLLER (4) Marianne Klemp GJERTSEN  
(5) Ingvil SÆTERDAL

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

## 2. Name and address of receiving party(ies):

Name: NORSK HYDRO ASAForeign Address: Drammensveien 40, Oslo, Norway

Domestic Address: \_\_\_\_\_

City: \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Dates: (1) & (5) 11/21/2000; (2) 11/15/2000;  
(3) 11/30/2000 and (4) 11/22/2000

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application Number:

09/674,973 (derived from PCT/NO99/00143)

National Entry Date: November 8, 2000

## B. Title of Invention:

PEPTIDES

MRD  
6.4.01

Additional numbers attached?

☐ Yes☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Fitzpatrick, Cella, Harper & Scinto30 Rockefeller PlazaNew York, New York 10112-3801Telephone No.: (212) 218-2100Facsimile No.: (212) 218-2200

## 6. Number of applications and patents involved:

One

7. Total fee (37 CFR 3.41): . . . \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number (for deficiency or excess)

06-1205

(Attach duplicate copy of this page if paying by deposit account):

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and the attached is the original document or is a true copy of the original document.*John W. Behringer, Reg. No. 23,086

Name of Person Signing

*John W. Behringer*  
Signature

June 4, 2001

Date

Total number of pages including cover sheet, attachments, and documents: 3

**JOINT**

(BEFORE APPLICATION FILED)

**ASSIGNMENT OF PATENT RIGHTS FOR THE UNITED STATES**

(Page 1 of 2)

FOR GOOD AND SUFFICIENT VALUE RECEIVED, WE, GUSTAV GAUDERNACK, JON AMUND ERIKSEN, MONA MØLLER, MARIANNE KLEMP GJERTSEN and INGVL SÆTERDAL

citizens of Norway,

residing respectively at Anthon Walles vei 17A, N-1300 Sandvika, Norway; Bjørntvedtgt. 37, N-3916 Porsgrunn, Norway; Skrukkerødtoppen 8, N-3925, Porsgrunn, Norway; Terneveien 10, N-1335 Snarøya, Norway; Morgedalsveien 43-8, N-0378, Oslo, Norway

hereby sell, assign, transfer and convey unto Norsk Hydro ASA,

a corporation of Norway,

having a place of business at Drammensveien 40, Oslo, Norway,

its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for the United States, in and to certain inventions relating to

**PEPTIDES**

and described in International Patent Application No. PCT\NO99\00143, which designates the United States, and all applications for Letters Patent of the United States filed therefrom, as well as all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues and extensions thereof; and we hereby authorize and request the Commissioner for Patents and Trademarks of the United States to issue all Letters Patent upon said inventions to the Assignee or to such nominees as it may designate.

AND we authorize and empower the said Assignee or nominees to invoke and claim for any application for patent or other form of protection for said inventions, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in the United States for any purpose and more particularly in proof of the right of said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignee or nominees all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent protection for said inventions in the United States.

Witnesses:

Assignors:

Anniken Sværke

Gusta Gaudernack L.S.  
GUSTAV GAUDERNACK

Date: Nov. 21, 2000

Inge S. Ørjengrud

Jon A. Eriksen L.S.  
JON AMUND ERIKSEN

Date: Nov. 15, 2000

Jon A. Eriksen

Mona Møller L.S.  
MONA MØLLER

Date: Nov 30, 2000

Strachsel

M. K. Gjertsen L.S.  
MARIANNE KLEMP GJERTSEN

Date: Nov. 22, 2000

Anniken Sværke

Ingvil Sæterdal L.S.  
INGVIL SÆTERDAL

Date: Nov. 21, 2000